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1132 U.S. PTO

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

15185.00101

First Named Inventor or Application Identifier

JAMES S. SCHUTZBACH, ET AL.

Express Mail Label No.

11002 U.S. PTO
10/092950

03/08/02

APPLICATION ELEMENTS

See M.P.E.P. chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)

2. ☒ Applicant claims small entity status.
See 37 C.F.R. § 1.27.

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

☐ Specification *Total Pages*

a. ☐ Computer Readable Form (C.R.F.)

☒ Drawing(s) (35 U.S.C. § 113) *Total Sheets*

b. Specification Sequence Listing on:

☐ Oath or Declaration *Total Pages*

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

a. ☐ Newly executed (original or copy)

c. ☐ Statements verifying identity of above copies

b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting
inventor(s) named in the prior application, see
37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

☒ Application Data Sheet. See 37 C.F.R. § 1.76

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (M.P.E.P. § 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other: Appendix (pps. 1-18)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ___/___ (See Appln. Data Sheet)
Prior application information: *Examiner* *Group/Art Unit:*

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

27160
(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME

Address

City

State

Zip Code

Country

Telephone

Fax

+

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 C.F.R. §1.16(c))	47-20 =	27	X \$ 18.00 =	\$ 486.00
	INDEPENDENT CLAIMS (37 C.F.R. §1.16(b))	4-3 =	1	X \$ 80.00 =	\$ 80.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. §1.16(d))			\$270.00 =	\$ 0.00
				BASIC FEE (37 C.F.R. §1.16(a))	\$ 710.00
	Total of above Calculations =				\$1,276.00
	Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28).				\$ 638.00
	TOTAL =				\$ 638.00

Small entity status

- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.
- ☐ Please charge Deposit Account No. 50-1710 the amount of \$_____ to cover the filing fee. A duplicate copy of the paper is attached.
- ☐ A check in the amount of \$_____ to cover the recordal fee is enclosed.

The Commissioner is hereby authorized to charge the above fees or credit overpayments or charge any deficiencies to Deposit Account No. 50-1710:

- a. ☐ Fees required under 37 C.F.R. § 1.16.
- b. ☐ Fees required under 37 C.F.R. § 1.17.
- c. ☐ Fees required under 37 C.F.R. § 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	James A. Gromada, Reg. No. 44,727
SIGNATURE	<i>James A. Gromada</i>
DATE	March 8, 2002